



Course Title: Legal, Regulatory, and Financial Management

NURS 5335.060

Fall 2022

Scheduled Class Days and Times: Online

Instructor's Name: Dr. Danice B. Greer, Associate Professor

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Office Hours: Online via Zoom, and by Appointment M, W, Th 5p-8pm

**preferred method of contact*

Also: UTT CONHS Clinical Coordinator: Brenda Burton, MA. Email: brendaburton@uttyler.edu *Best way to contact me.

Course Description: Focuses on the legal, ethical, regulatory, and fiscal environment faced by nurse administrators. Enables the professional nurse to manage the ethical, legal, and regulatory issues facing healthcare organizations and actively participate in the fiscal management of healthcare divisions and organizations.

CREDIT: 3 (2:1) [**PREREQUISITES: NURS 5331 or enrolled in the PhD in Nursing program.**](#)

Student Learning Outcomes:

Upon successful completion of this course, the student will be able to:

1. Participate in health and public policy development and analysis impacting healthcare organizations.
2. Examine ethical, legal and regulatory perspectives in the analysis of the professional practice environment and organizational culture.
3. Apply healthcare economics to the strategic planning process with consideration of current economic, legal, and political influences.
4. Advocate for a healthy work environment incorporating informatics, and current emerging technologies within the legal, regulatory, ethical, and fiscal operations of the health care organization.
5. Formulate a business plan utilizing business and economic principles and practices.

Required Textbooks and Readings:

1. Penner, S. J. (2017). *Economics and financial management for nurses and nurse leaders (3rd ed.)*. Springer Publishing Co., LLC. ISBN:978*0-8261-6001-0
2. Pozgar, G. D. (2023). *Legal aspects of healthcare administration (14th ed.)*. Burlington, MA: Jones and Bartlett Publishers. ISBN: 978-1284231526
3. American Psychological Association. (2020). *Publication manual of the American Psychological Association (7th ed.)*. <https://doi.org/10.1037/0000165-000>
4. Supplemental Resources: <https://apastyle.apa.org/products/supplemental-resources2>.
5. All students are required to have an active ***InPlace Clinical Placement subscription***.

Assignments and Weights/Percentage/Point Values:

Criteria for Evaluation:	Percentage of Grade:
1. Discussion Boards (Two @ 10% Each)	20%
2. Finance Quizzes (Four @ 10% Each)	40%
3. A P A Q u i z a n d Team Business Plan (including Participation)	20%
4. Healthcare Legal Issues Presentation (Group grade & Participation)	10%
Clinical Requirements	
5. Clinical Reflective Journal (1 @ 10% each)	10%
6. Clinical (to include satisfactory completion of 37.5 clinical hours and submission of required clinical logs, journals, preceptor agreement and preceptor evaluation by due dates on Calendar.)	Pass/Fail

Grading Scale:

Specific guidelines and grading criteria for all assignments are in the Modules. Final grades for the course will be determined based upon the following point assignments:

- A - 90-100
- B - 80-89
- C - 70-79
- D - 60-69
- F - Below 60

Grades will not be rounded when calculating the average (79.5 is not rounded to 80 and 89.5 is not rounded to 90). Students are required to achieve an average of 80% (B) to successfully complete the course. Students must pass the didactic and clinical portion to pass the course.

Exam and homework materials, questions, and problems are the intellectual property of faculty, UT Tyler, or publishers.

- These materials may not be distributed without permission.
- Distributing them or uploading them to online resources destroys the integrity of the assignment and the course, allowing others an unfair advantage by letting them view the materials.
- Uploading these materials to online resources is a violation of UT Tyler's academic misconduct policies and may result in formal conduct charges.
- Sanctions for uploading or otherwise divulging the contents of these materials can include:
 - a reduced or failing grade on an assignment
 - a reduced or failing grade for the course
 - removal from the Nursing program
 - removal from UT Tyler

Late Policy: 5% will be deducted each day an assignment is past due unless prior arrangements have been made with your course faculty. Extenuating circumstances may apply.

Repeating a Course: Students repeating this course may not use previously submitted assignments nor utilize the same patients for an assignment. Submitting the same or slightly modified assignments from previous semesters is considered self-plagiarism and is subject to academic discipline, including failing the assignment or the course.

Attendance and Make-up Policy: Attendance / participation is expected. Make-up for exams, quizzes, assignments, clinical time missed is at the discretion of the instructor.

Graded Course Requirements Information:

The major assignments in this course will require students to:

1. Review and complete an APA 7th edition Quiz
2. Write two Discussion Boards (Work engagement & relational ethics, and labor relations).
3. Complete four finance quizzes (multiple choice questions).
4. Write a group business plan.
5. And prepare a group healthcare legal topic professional presentation.
6. Participation is defined as full participation in group assignments and documented in Canvas through the group pages and assignments (Group discussion, group assignments, peer evaluation, collaborations, and file uploads that demonstrate student participation).

Calendar of Topics, Readings, and Due Dates NURS 5335

Legal, Regulatory and Financial Management
Class Calendar—Fall 2022

14-Week Semester

8/29/- 12/10/2022

All assignments are linked in Canvas Calendar. Assignments due by Midnight (CST)

Dates	Topics/Content	Assignments Green =Discussion Board & Legal; Blue = Finance Red = Clinical
Module 1 Week of: 8/29/22	Introduction National Health Insurance Managed Care Economics of Health Care Health Insurance and Reimbursement Managed Care and ACO's	<p>Readings: Pozgar (2023) Chapter 22 [Chapter 1 Optional] Penner (2017) 1, 2, & 3</p> <p>Clinical Self Evaluation Form Due by 9/3/22 (11:59 CST) Introduce self on DB #0 (Due 9/3/22) Identify Team Name Write 3-4 Clinical Objectives (submit by 9/10/22)</p>
Module 2 Week of: 9/5/22 Labor Day holiday Monday 9/5/22	Writing a Business Plan Make sure you have read Penner Chapter 10.	<p>Readings: Penner (2017) Chapter 10; [Chapters 11 & 13 Optional] SBA.Gov Writing a Business Plan @ http://www.sba.gov/category/navigation-structure/starting-managing-business/starting-business/writing-business-plan</p> <p>Module 2 Learning Activities: Training Program on how to write a Business Plan (You can find the video about the bottom 1/3 of the page.)</p>
Module 3 Week of: 9/12/22 Census Date 9/9/22	Work Engagement in Nursing Practice Government, Law & Ethics Health Care Ethics Tort Law-Negligence	<p>Readings: Keyko, K. (2014). Work engagement in nursing practice: A relational ethics perspective. <i>Nursing Ethics</i>, 22 (8), 879-889. doi: 10.1177/0969733014523167. (Provided in Module 3 Folder) Pozgar (2023) Chapters 2-4</p> <p>Finance Quiz #1 over Penner (2017) Chapters 1,2,3, & 10 DUE: 9/17/22 (11:59 pm) *One group member to submit group BP contract by 9/17/22 (11:59pm CST) Due: Excel Gantt Chart uploaded to Group Collaboration page</p>
Module 4 Week of: 9/19/22	Intentional Torts Tort Reform & Risk Reduction Criminal Aspects of Health Care Civil Procedures and Trial Practice	<p>Readings: Pozgar (2023) Chapters 4, 5, 6, & 8</p> <p>Legal Issues DB #1 Due 9/24/22 (11:59 pm CST)</p>
Module 5 Week of: 9/26/22 AND Module 6 9/26/22	Measuring Nursing Care Reporting and Managing Budgets Budget Planning Special Purpose, Capital and other Budgets	<p>Readings: Penner (2017) Chapters 4 & 5</p> <p>Readings: Penner (2017) Chapters 6 & 7</p>
Module 7 Week of: 10/3/22	Contracts and Antitrust Corporate Structure & Legal Issues	<p>Readings: Pozgar (2023) Chapters 7 & 9</p> <p>Submission: Legal Presentation Team contract 10/8/22 to assignment link (11:59 pm CST) Finance Quiz 2 over Chapters 4,5, 6, & 7</p>

		DUE: 10/22/22 (11:59 pm)
*Attention: Sat. October 15th is the halfway (midterm) point of the semester.		
Module 8 Week of: 10/10/22	Medical Staff Organization and Physician Liability Nursing and the Law Health Departments and Allied Health Professionals Professional Liability Insurance	Readings: Pozgar (2023) Chapters 10-12 & 22 Due: 10/15/22 Clinical Reflective Journal First Submission
Module 9 Week of: 10/17/22	Cost Finding, Break Even, and Charges Comparing Costs and Benefits	Readings: Penner (2017) Chapters 8 & 9 Rough Draft of Team Business Plan due by 10/22/22 (11:59 pm CST) One member of team to submit to assignment link.
Module 10 Week of: 10/24/22	Assessing Financial Health	Readings: Penner (2017) Chapter 12
Module 11 Week of: 10/31/22	Patient Consent Legal Reporting Requirements Medical Records Patient Rights and Responsibilities	Readings: Pozgar (2023) Chapters 13, 14, 15 & 19 Finance Quiz 3 over Penner (2017) Chapters 8, 9 & 12 DUE: 11/19/22 (11:59 pm)
11/7/22 5:00pm	Last Day to Withdraw with a W	Recorded on your transcript
Module 12 Week of: 11/7/22	Human Resources	Readings: Pozgar (2023) Chapters 20 Team Legal Abstract and PowerPoint Presentation Due 11/12/22 Submit to Assignment link and the Main Discussion Board. Legal Issues DB #2 Due 11/12/22 (11:59 pm CST)
Module 13 Week of: 11/14/22	Ethical Issues and International Health Care Systems Health Policy and Future Trends	Readings : Penner (2017) Chapters 14 & 15
11/21- 11/26	 Thanksgiving Holiday 	
Module 14 11/28/22- 12/9/22	Procreation and Ethical Dilemmas End-of-Life Issues	Readings: Pozgar (2023) Chapters 16 & 17 Finance Quiz 4 over Penner (2017) Chapters 14 & 15 Due: 12/5/22 (11:59 pm CST) Due: Monday 12/5/22 All Clinical documents, inPlace log, signed clinical log Final Clinical Reflective Journal (Can submit any time before due date.) Final Team Business Plan Due by 12/5/22. One team member to submit. All team members to submit peer evaluation of participation.

Module 15 optional	Nurse Adm Certification	Readings : External Link: https://www.nursingworld.org/our-certifications/ I really encourage you to take this time to review the materials for the Nurse Executive Certification Exam.
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Please email me for any mistakes, errors, or confusion, Dr. Greer (dgreer@uttyler.edu)

School of Nursing Policies and Additional Information:

Covid-19 (Fall 2022 Statement)

"It is important to take the necessary precautions to ensure a healthy and successful year. UT Tyler continues to urge you to protect yourselves against the flu, COVID and any new threats that may be developing. Be diligent about preventive measures such as washing hands, covering sneezes/coughs, social distancing and vaccinations, which have proven to be successful in slowing the spread of viruses. Encourage those who don't feel well to stay home, and if they show symptoms, ask them to get tested for the flu or COVID. Self-isolation is important to reduce exposure ([CDC quarantine/isolation guidelines](#)). Please work with your faculty members to maintain coursework and please consult [existing campus resources](#) for support".

https://www.uttyler.edu/nursing/college/student_guide_and_policies.php

Student Resources to assist you in this course:

[UT Tyler Student Accessibility and Resource \(SAR\) Office](#) (provides needed accommodations to students with document needs related to access and learning)

[UT Tyler Writing Center](#)

[The Mathematics Learning Center](#)

[UT Tyler PASS Tutoring Center](#)

[UT Tyler Supplemental Instruction](#)

[Upswing \(24/7 online tutoring\) - covers nearly all undergraduate course areas](#)

[Robert Muntz Library \(Links to an external site.\)](#) and [Library Liaison](#)

[Canvas 101](#) (learn to use Canvas, proctoring, Unicheck, and other software)

Digital Support Toolkit (for supported courses only. Students are automatically enrolled in the toolkit for supported courses)

LIB 422 -- Computer Lab where students can take a proctored exam

[The Career Success Center](#)

[UT Tyler Testing Center](#)

[Office of Research & Scholarship Design and Data Analysis Lab](#)

Resources available to UT Tyler Students

[UT Tyler Counseling Center](#) (available to all students)

[TAO Online Support Center](#) (online self-help modules related to mental & emotional health)

[Military and Veterans Success Center](#) (supports for all of our military affiliated students)

[UT Tyler Patriot Food Pantry](#)

[UT Tyler Financial Aid and Scholarships](#)

[UT Tyler Registrar's Office](#)

[Office of International Programs](#)

[Title IX Reporting](#)

[Patriots Engage](#) (available to all students. Get engaged at UT Tyler.)

University Policies and Information

Withdrawing from Class: Students you are allowed to [withdraw](#) (drop) from this course through the University's [Withdrawal Portal](#). Texas law prohibits students who began college for the first time in Fall 2007 or thereafter from dropping more than six courses during their entire undergraduate career. This includes courses dropped at other 2-year or 4-year Texas public colleges and universities. Make sure to consider the impact withdrawing from this class has on your academic progress as well as the financial implications. We encourage you to consult your advisor(s) and financial aid for additional guidance. CAUTION #1: Withdrawing before census day does not mean you get a full refund. Please see the [Tuition and Fee Refund Schedule](#). CAUTION #2: All international students must check with the [Office of International Programs](#) before withdrawing. All international students are required to enroll full-time for fall and spring terms.

Final Exam Policy: Final examinations are administered as scheduled. If unusual circumstances require that special arrangements be made for an individual student or class, the dean of the appropriate college, after consultation with the faculty member involved, may authorize an exception to the schedule. Faculty members are required to maintain student final examination papers for a minimum of three months following the examination date.

Incomplete Grade Policy: If a student, because of extenuating circumstances, is unable to complete all of the requirements for a course by the end of the semester, then the instructor may recommend an Incomplete (I) for the course. The "I" may be assigned in lieu of a grade only when all of the following conditions are met: (a) the student has been making satisfactory progress in the course; (b) the student is unable to complete all course work or final exam due to unusual circumstances that are beyond personal control and are acceptable to the instructor; and (c) the student presents these reasons prior to the time that the final grade roster is due. The semester credit hours for an Incomplete will not be used to calculate the grade point average for a student.

The student and the instructor must submit an Incomplete Form detailing the work required and the time by which the work must be completed to their respective department chair or college dean for approval. The time limit established must not exceed one year. Should the student fail to complete all of the work for the course within the time limit, then the instructor may assign zeros to the unfinished work, compute the course average for the student, and assign the appropriate grade. If a grade has not been assigned within one year, then the Incomplete will be changed to an F, or to NC if the course was originally taken under the CR/NC grading basis.

Grade Appeal Policy: UT Tyler's Grade Appeal policy requires the completion of a Grade Appeal form for this action to take place. The grade appeal begins with the instructor of your course. If you do not agree with the decision of the instructor, you may then move your appeal to the department chair/school director for that course. If you are still dissatisfied with the decision of the chair/director, you may move the appeal to the Dean of the College offering that course who has the final decision. Grade appeals must be initiated within sixty (60) days from the date of receiving the final course grade. The Grade Appeal form is found on the [Registrar's Form Library](#).

Disability/Accessibility Services: The University of Texas at Tyler has a continuing commitment to providing reasonable accommodations for students with documented disabilities. Students with disabilities who may need accommodation(s) in order to fully participate in this class are urged to contact the Student Accessibility and Resources Office (SAR) as soon as possible to explore what arrangements need to be made to ensure access. If you have a disability, you are encouraged to visit the [SAR Portal \(https://hood.accessiblelearning.com/UTTyler/\)](https://hood.accessiblelearning.com/UTTyler/) and complete the New Student Application. For more information, please visit the [SAR webpage](#) or call 903.566.7079.

Military Affiliated Students: UT Tyler honors the service and sacrifices of our military affiliated students. If you are a student who is a veteran, on active duty, in the reserves or National Guard, or a military spouse or dependent, please stay in contact with me if any aspect of your present or prior service or family situation makes it difficult for you to fulfill the requirements of a course or creates disruption in your academic progress. It is important to make me aware of any complications as far in advance as possible. I am willing to work with you and, if needed, put you in contact with university staff who are trained to assist you. Campus resources for military affiliated students are in the [Military and Veterans Success Center \(MVSC\)](#). The MVSC can be reached at MVSC@uttyler.edu, or via phone at 903.565.5972.

Academic Honesty and Academic Misconduct: The UT Tyler community comes together to pledge that "Honor and integrity will not allow me to lie, cheat, or steal, nor to accept the actions of those who do." Therefore, we enforce the [Student Conduct and Discipline policy](#) in the Student Manual Of Operating Procedures (Section 8).

FERPA: UT Tyler follows the Family Educational Rights and Privacy Act (FERPA) as noted in [University Policy 5.2.3](#). The course instructor will follow all requirements in protecting your confidential information.

COVID Guidance The UT Tyler community of Patriots respects the rights of others to wear a mask if they desire to do so. COVID guidelines may change as the situation warrants, and students should follow the instructions warranted by the situation.

[\(https://www.uttyler.edu/coronavirus/\)](https://www.uttyler.edu/coronavirus/)”

Absence for Religious Holidays: Students who anticipate being absent from class due to a religious holiday are requested to inform the instructor by the second class meeting of the semester.

Campus Carry: We respect the right and privacy of students who are duly licensed to carry concealed weapons in this class. License holders are expected to behave responsibly and keep a handgun secure and concealed. More information is available at <http://www.uttyler.edu/about/campus-carry/index.php>.