

HPEM 5360	Quality Improvement and Efficacy in Health		Credit Hours: 3	
Semester:	Fall	Year:	2024	
Class Days/Times:	Wed; 6:30–9:30 pm Synchronous classes when scheduled	Class Location:	Online	
Instructors of Record		Michael H. Kennedy, PhD, MHA, FACHE Lean Six Sigma Green Belt in Healthcare		
Offic	0			
Contact Inf	o: (903) 877-1402; micł	nael.kennedy@uttyle	r.edu	
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**Course Description:** This course will develop the foundations of quality and process improvement that lead to higher levels of efficacy, efficiency and effectiveness in health organizations and programs. This course will explore the basis of Quality Improvement (QI) consisting of systematic and continuous actions that lead to measurable improvement in health care services and the health status of targeted patient groups. The methodology of the course will begin with "how things are done now," considering health care performance as defined by an organization's efficiency and outcome of care, and level of patient satisfaction. Quality is directly linked to an organization's service delivery approach or underlying systems of care throughout the continuum of care. The student will understand that to achieve a different level of performance (i.e., results) and improve quality and efficacy, an organization's current system needs to change. Lastly, this course will focus on a successful QI culture that incorporates the following four key principles: QI work as systems and processes; focus on patients and community groups, especially rural areas; focus on being part of the team; and focus on use of the data and analyses of information.

#### Prerequisite: None.

#### Co-requisite: None

- Student Learning Outcomes (SLO or "course objective"): Upon successfully completing this course, the student will be able to:
- 1. Describe the evolution of health care quality improvement. [PLOs 1.4 and 2.4; A.2 and A.8]
- 2. Employ process analysis tools to examine and improve health care operations. [PLOs 2.2 and 5.3; A.1, A.3, A.5, and A.8]
- 3. Employ Lean tools. [PLOs 2.2 and 5.3; A.1, A.3, A.5, and A.8]
- 4. Build and interpret statistical process control charts. [PLOs 2.2 and 5.3; A.1, A.3, A.5, & A.8]
- 5. Determine process capability. [PLOs 2.2 and 5.3; A.1, A.3, A.5, and A.8]
- 6. Explain DMAIC as a problem-solving approach within the context of Lean Six Sigma. [PLOs 2.2; A.2 and A.8]
- 7. Trace the natural flow of patients through a health care practice using Visio. [PLOs 2.2 and 5.1 5.3; A.3, A.5, and A.8]
- 8. Build a value stream map. [PLOs 2.2 and 3; A.1, A.3, A.5, and A.8; B.1, B.2 and B.3]
- 9. Develop an action plan to improve patient flow. [PLOs 4 and 6; A.10, B.1, B.2 and B.3]



#### Course Assessment/Methods of Evaluation:

Assignments <sup>1</sup>	Points	Percentage
Project: Value Stream Mapping Project	100	20%
Exam 1	100	20%
Exam 2	100	20%
HW 1: Article Review	10	2%
HW 2: Process Analysis Tools (Part 1)	15	3%
HW 3: Process Analysis Tools (Part 2)	15	3%
HW 4: Process Analysis Tools (Part 3)	15	3%
HW 5: Determining Distribution Shapes	15	3%
HW 6: Control Charts (Xbar-R)	15	3%
HW 7: Control Charts (I-MR)	15	3%
HW 8: Control Charts (p, np, c)	15	3%
HW 9: Process Capability	15	3%
HW 10: Applying Control Charts and Process Capability – Example 1	15	3%
HW 11: Applying Control Charts and Process Capability – Example 2	15	3%
Participation Exercises (Gemba Walk, 5 S, Red Ball, Standard Work)	40	8%
Total	500	100%

Assignments are due on Tuesday by 11:00 pm following the week of assignment, unless otherwise noted. Late assignments may be penalized 5% and will not be accepted after grading is completed.

# Course Grade Scale (points): A: 450 to 500, B: 400 to 449, C: 350 to 399, F: < 350 points

#### Linked MPH Program Learning Outcomes:

The student learning outcomes listed above address the following MPH Program PLOs:

- PLO1 The student will demonstrate mastery in each of the five core knowledge areas in public health: Biostatistics, Epidemiology, Social & Behavioral Sciences, Health Policy and Management, and Environmental Health Sciences.
- PLO2 The student will demonstrate proficiency in the four core functions of public health, as well as be able to explain the principles and interrelatedness of the ten essential public health services.
- PLO3 The student will demonstrate proficiency in using multiple informational resources to gather, analyze, apply and report solutions to public health problems with a special emphasis on rural community health.
- PLO4 The student will demonstrate proficiency in English communication in both oral (public speaking) and written forms as they pertain to conveying key concepts in public health.
- PLO5 The student will demonstrate proficiency in using computers and other forms of digital technology and media as they pertain to research, office management and public health issues.
- PLO6 The student will demonstrate independent and critical thinking skills.



# Linked MHA Program Learning Outcomes:

The student learning outcomes listed on pp. 1 and 2 address the following MHA Program PLOs:

- PLO A.1 The student will identify appropriate sources and gather information, effectively and efficiently.
- PLO A.2 The student will appraise literature and data critically that enhances community health.
- PLO A.3 The student will develop, understand, and use data from performance, surveillance or monitoring systems.
- PLO A.5 The student will understand and apply basic statistical methods relevant to public health and health administration practice.
- PLO A.8 The student will analyze, design, or improve an organizational process, including the use of quality management, process improvement, marketing and information technology principles and tools.
- PLO A.10 The student will implement a decision-making process that incorporates evidence from a broad analysis that includes uncertainty, risk, stakeholders, and organizational values.
- PLO B.1 The student will speak and write in a clear, logical, and grammatical manner in formal and informal situations; prepare cogent business presentations; facilitate an effective group process.
- PLO B.2 The student will receive, process, and respond appropriately to information conveyed by others.
- PLO B.3 The student will perceive and respond appropriately to the spoken, unspoken, or partly expressed thoughts, feelings, and concerns of others.

# Textbooks:

- Brook, Q. (2022). *Lean Six Sigma & Minitab: The complete toolbox guide for business improvement*, 7<sup>th</sup> ed. Hampshire, UK: OPEX Resources. **[Required]**
- Ross, Thomas K. (2014). Health care quality management: Tools and Applications. San Francisco, CA: Jossey-Bass. [Recommended] Ebook: unlimited multi-user access.

# Special Course Notes:

Proctoring Notice: The exams in this course will be proctored using ProctorU. You will need to have a webcam and microphone. If you are not able to provide these items, you must contact me by September 25<sup>th</sup> so we can arrange for you to take your exam on campus or via Zoom.

This course is fully online. Students are expected to have access to a reliable, high speed internet connection and a computer capable of accessing Canvas and the Virtual Computer Lab (through VMware). Microsoft Excel and JMP Pro (available by free download or through the Virtual Computing Lab) will be used in class. Technical specifications will be listed in Canvas.



# **Course Content:**

Course Content:	Assigned Readings
Schedule Week 1 (Begins Monday, August 26) – Zoom Session	Assigned Readings
Synchronous Session - Wednesday, August 28 from 6:30 – 9:30 pm	
Quality in Health Care.	Base (2014): Chapter 1
-	Ross (2014): Chapter 1
Quality guru or serial killer?	
What is quality in health care?	Article: Institute of Medicine (IOM),
Quality pioneers.	Executive Summary: "To Err is
Improving quality.	Human."
	http://www.nap.edu/catalog/9728.html
Assignment: JMP Intro (Extra Credit)	
Week 2 (Begins Monday, September 2) – Online	
Overview of Other Quality Systems	Walsh & Shortell (2004)
<ul> <li>Overview – Includes Lean Six Sigma</li> </ul>	
•	
Quality Improvement (QI) in health care	
Roadmaps for improvement	
Two jobs	
	Ross (2014): Chapter 2
Error and Variation	Russ (2014). Chapter 2
Insights into the Medical Decision-Making Process	
The Structure-Process-Outcome Paradigm	
Extensions to Structure-Process-Outcome	
Assignment: HW 1. Article review	
Week 3 (Begins Monday, September 9) – Zoom Session	
Synchronous Session - Wednesday, September 11 from 6:30 – 9:30	
pm	
Lean	
Lean Overview	Reference: Joint Commission – <i>Doing</i>
	More with Less: Lean Thinking and
Lean in Health Care	Patient Safety in Health Care
Lean in Health Care	
	Reference: IHI White Paper (2003)
Patient Flow in Health Care	
Introduction of Process Analysis Tools	
HW 1 and JMP Intro (Extra Credit) due	
Multi-Week Assignments	Assigned Readings
inditi-Meek Assignments	
Process Analysis Tools.	
	Ross (2014): Chapter 4
Process Analysis Tools.	Ross (2014): Chapter 4
<ul> <li>Process Analysis Tools.</li> <li>Exploration of seven process analysis tools: 1) Cause-and-</li> </ul>	Ross (2014): Chapter 4
<ul> <li>Process Analysis Tools.</li> <li>Exploration of seven process analysis tools: 1) Cause-and-effect diagrams; 2) Check sheets; 3) Run charts;</li> </ul>	Ross (2014): Chapter 4
<ul> <li>Process Analysis Tools.</li> <li>Exploration of seven process analysis tools: 1) Cause-and-effect diagrams; 2) Check sheets; 3) Run charts;</li> <li>4) Histograms; 5) Pareto charts; 6) Scatter diagrams;</li> </ul>	Ross (2014): Chapter 4
<ul> <li>Process Analysis Tools.</li> <li>Exploration of seven process analysis tools: 1) Cause-and-effect diagrams; 2) Check sheets; 3) Run charts;</li> <li>4) Histograms; 5) Pareto charts; 6) Scatter diagrams;</li> </ul>	Ross (2014): Chapter 4
<ul> <li>Process Analysis Tools.</li> <li>Exploration of seven process analysis tools: 1) Cause-and-effect diagrams; 2) Check sheets; 3) Run charts;</li> <li>4) Histograms; 5) Pareto charts; 6) Scatter diagrams;</li> <li>7) Stratification diagrams</li> </ul>	Ross (2014): Chapter 4



Schedule	Assigned Readings
Week 4 (Begins Monday, September 16) – Online	
A Brief History of Lean	
Change Management Videos	
Lean Glossary	
<b>Assignment: Self-Guided Waste Walk Exercise and HW 3</b> This is a participation exercise turned in as an assignment followed by a discussion during the Week 5 synchronous class.	
Review of Process Analysis Tools (continued)	
HW 2 due	
Week 5 (Begins Monday, September 23) – Zoom Session Synchronous Session - Wednesday, September 25 from 6:30 – 9:30 pm	
<ul> <li>Value Stream Mapping</li> <li>Value Stream Mapping Presentation</li> <li>Value Stream Process Mapping Videos</li> <li>Value Stream and Process Mapping Metrics</li> </ul>	Brook (2020)
Assignment: Value Stream Mapping Project	
Assignment: 5S Exercise and HW 4	
Sorting Out the Mess	
Review of Process Analysis Tools (continued)	
HW 3 and Waste Walk Exercise Due	
<u>Week 6 (Begins Monday, September 30) – Online</u> Six Sigma Overview	Brook (2020)
Assignment: Red Bead Experiment	
<ul> <li>Getting Things Done</li> <li>DMAIC Revisited</li> <li>DMAIC (Define) <ul> <li>Project Charter – Work on Draft</li> <li>House of Quality Exercise</li> </ul> </li> <li>Other Tools <ul> <li>Kano Analysis</li> <li>CTQ Trees</li> <li>SIPOC</li> </ul> </li> </ul>	
HW 4 and 5S Exercise due	



Schedule	Assigned Readings
Week 7 (Begins Monday, October 7) – Zoom Session	
Synchronous Session - Wednesday, October 9 from 6:30 – 9:30 pm	
<ul> <li>Getting Things Done</li> <li>DMAIC (Measure) <ul> <li>Develop Process Measures</li> <li>Collect Process Measures</li> <li>Check the Data Quality</li> <li>Understand Process Behavior – First Pass Analysis &amp; Determining Distribution Shapes</li> <li>Baseline Process Capability and Potential</li> </ul> </li> </ul>	Brook (2020)
Tutorial: Determining Distribution Shapes	
Assignment: HW 5. Determining Distribution Shapes	
Assignment: JMP Extra Credit (Part 1)	
Review Project Charter (Draft)	
Exam 1 Review	
VSM Charter, Marie Kondo DB, and Red Bead Experiment Due	
<u>Week 8 (Begins Monday, October 14) – Online</u> Exam 1 (Online Exam) Via ProctorU between 6:00 pm, Wed, Oct 16 – 11:00 pm, Sat, Oct 19	
Getting Things Done	
• DMAIC (Analyze)	Brook (2020)
<ul> <li>Spaghetti Diagrams</li> </ul>	
• Brainstorming	Ross (2014): Chapter 5 and 10
<ul> <li>5 Whys</li> <li>Failure Mode and Effects Analysis</li> </ul>	
<ul> <li>Failure Mode and Ellects Analysis</li> <li>IHI site and video</li> </ul>	
Multi-Week Assignments	Assigned Readings
Statistical Process Control (SPC)	Brook (2020)
Theory of variation and control charts for continuous and	
discrete variables.	
<ul> <li>Construct and interpret Xbar and R charts, I-MR charts, p</li> </ul>	
and np charts, and c and u charts	Ross (2014): Chapters 6 - 8
Assignments: HW 6 – 8	
Capability Analysis	
Assignment: HW 9	



Schedule	Assigned Readings
Week 9 (Begins Monday, October 21) – Zoom Session	
Synchronous Session - Wednesday, October 23 from 6:30– 9:30 pm	
Getting Things Done	
DMAIC (Improve)	Brook (2020)
• Fishbone Diagram	
• FMEA (Quick Overview) & (More Detailed)	Ross (2014): Chapter 5 and 10
<ul> <li>FMEA Visual Management (John Shook Blog)</li> </ul>	
○ Visual Board	
<ul> <li>I Love Lucy Counterexample</li> <li>Dilat Atualiza (Data of Dian Data Atuality)</li> </ul>	
• Pilot Studies (Do of Plan-Do-Study-Act)	
IHI Triple Aim for Populations	
Review of Control Charts – Xbar and R Charts	
Review Project Charter (Draft)	
Appingments 100/6	
Assignment: HW 6	
HW 5 and JMP Extra Credit (Part 1) due	
Week 10 (Begins Monday, October 28) – Online	
Getting Things Done	Brook (2020)
	BIOOK (2020)
DMAIC (Control)	
<ul> <li>Standard Work Exercise</li> </ul>	
Review of I-MR Charts	
Assignments – Standard Work Exercise and HW 7	
HW 6 due	
<u>Week 11 (Begins Monday, November 4) – Zoom Session</u> Synchronous Session - Wednesday, November 6 from 6:30– 9:30	
pm	
pin	
Control Charts – p, np, and c-Charts	
Review of p, np, and c-Charts; SPC Conclusion	
Assignment: HW 8	
HW 7 due	
Week 12 (Begins Monday, November 11) – Online	
Capability Analysis	
Review of Capability Analysis	
Assignment: HW 9	
HW 8 due	



Lean Six Sigma Green Belt for Healthcare Exam		
Students taking this course will be eligible to sit for the Institut		
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Engineers Lean Six Sigma Green Belt for Healthcare Certificati		
Contact the Instructor regarding examination requirements and	d fees if you wish to take the Lean	
Six Sigma Green Belt for Healthcare Certification Exam.		
Students taking the Lean Six Sigma Green Belt for Healthcare Certification Exam have the option of substituting that score for Exam 2. Take the Lean Six Sigma Green Belt for Healthcare Exam prior to 11:00 pm, Tuesday, December 10 <sup>th</sup> if you want the result to substitute for Exam 2		
Additional resources to help you prepare for the Lean Six Sigma Green Belt in Healthcare Exam are available in a module at the end of the course materials		
Schedule	Assigned Readings	
Week 13 (Begins Monday, November 18) – Zoom Session		
Synchronous Session - Wednesday, November 20 from 6:30– 9:30 pm		
Value Stream Mapping presentations (Session 1 of 2)		
Assignment: HW 10/HW 11/JMP Extra Credit (Part 2)		
HW 9 due		
Thanksgiving Holiday for Faculty and Students	s (November 25 – 29 )	
Week 14 (Begins Monday, December 2) – Online		
LSS Review		
Register for the Lean Six Sigma Green Belt in Healthcare		
Exam with IISE (optional)		
Exam 2 Study Guide Posted		
HW 10, HW 11, and JMP Extra Credit (Part 2) Due		
Exam Week		
Week 15 (Begins Monday, December 9) (On-Line)		
Synchronous Session - Wednesday, December 11 from 6:30– 9:30		
pm		
<i>pm</i> Value Stream Mapping presentations (Session 2 of 2)		
<ul> <li>Value Stream Mapping presentations (Session 2 of 2)</li> <li>Exam 2 Practice Exam</li> </ul>		
<ul> <li>Value Stream Mapping presentations (Session 2 of 2)</li> <li>Exam 2 Practice Exam</li> <li>Assignment: Exam 2 (Online Exam)</li> </ul>		
<ul> <li>Value Stream Mapping presentations (Session 2 of 2)</li> <li>Exam 2 Practice Exam</li> </ul>		



# Other Class Policies (Refer to University Policies & Information posted to Canvas for Complete Listing) Attendance:

Regular and punctual attendance at synchronous Zoom class sessions is encouraged. If a student misses a class, the student is responsible for obtaining any information distributed during those times. Synchronous Zoom class sessions will be recorded and posted to Canvas. Students must participate in their group's Value Stream Mapping Presentation

#### Participation:

Attendance and participation in class is important. Students will be frequently asked to review concepts and online presentations prior to the scheduled class, so that class time can be used for hands-on activities and work on assignments. Students will often be building Excel and JMP models with the Instructor.

#### Academic Honesty:

Any student who commits an act of scholastic dishonesty is subject to discipline. Scholastic dishonesty includes, but is not limited to, cheating, plagiarism, collusion, the submission for credit of any work or materials that are attributable in whole or in part to another person, taking an examination for another person, any act designed to give unfair advantage to a student or the attempt to commit such acts.

#### Cheating

Dishonesty of any kind involving examinations, assignments, alteration of records, wrongful possession of examinations, and unpermitted submission of duplicate papers for multiple classes or unauthorized use of keys to examinations is considered cheating. Cheating includes but is not limited to:

- Using or attempting to use unauthorized materials to aid in achieving a better grade on a component of a class.
- Falsifying or inventing any information, including citations, on an assigned exercise.
- Helping or attempting to help another in an act of cheating or plagiarism.

#### <u>Plagiarism</u>

Plagiarism is presenting the words or ideas of another person as if they were your own. Materials, even ideas, borrowed from others necessitate full and complete acknowledgment of the original authors. Offering the work of another as one's own is plagiarism and is unacceptable in the academic community. A lack of adequate recognition constitutes plagiarism, whether it utilizes a few sentences, whole paragraphs, articles, books, audio-visual materials, or even the writing of a fellow student. In addition, the presentation of material gathered, assembled, or formatted by others as one's own is also plagiarism. Because the university takes such misconduct very seriously, the student is urged to carefully read university policies on Misconduct in Research and Other Scholarly Activity 05.00. Examples of plagiarism are:

- Submitting an assignment as if it were one's own work when, in fact, it is at least partly the work of another.
- Submitting a work that has been purchased or otherwise obtained from an Internet source or another source.
- Incorporating the words or ideas of an author into one's paper without giving the author due credit

#### Withdrawing from Class:

See University Policies & Information posted to Canvas.

#### **Disability Accommodations:**

See University Policies & Information posted to Canvas.



### **Class Policy on Artificial Intelligence**

UT Tyler is committed to exploring and using artificial intelligence (AI) tools as appropriate for the discipline and task undertaken. We encourage discussing AI tools' ethical, societal, philosophical, and disciplinary implications. All uses of AI should be acknowledged as this aligns with our commitment to honor and integrity, as noted in UT Tyler's Honor Code. Faculty and students must not use protected information, data, or copyrighted materials when using any AI tool. Additionally, users should be aware that AI tools rely on predictive models to generate content that may appear correct but is sometimes shown to be incomplete, inaccurate, taken without attribution from other sources, and/or biased. Consequently, an AI tool should not be considered a substitute for traditional approaches to research. You are ultimately responsible for the quality and content of the information you submit. Misusing AI tools that violate the guidelines specified for this course (see below) is considered a breach of academic integrity. The student will be subject to disciplinary actions as outlined in UT Tyler's Academic Integrity Policy.

# Al is permitted only for specific assignments or situations, and appropriate acknowledgment is required.

Some assignments in this course will permit using artificial intelligence (AI) tools, such as ChatGPT or Copilot. When AI use is permissible, it will be documented in the assignment description, and all use of AI must be appropriately acknowledged and cited. When using AI tools for assignments, add an appendix showing (a) the entire exchange (e.g., prompts used), highlighting the most relevant sections; (b) a description of precisely which AI tools were used, (c) an explanation of how the AI tools were used (e.g. to generate ideas, elements of text, etc.); and (d) an account of why AI tools were used (e.g. to save time, to surmount writer's block, to stimulate thinking, to experiment for fun, etc.). Students shall not use AI tools during in-class examinations or assignments unless explicitly permitted and instructed to do so.

**APA Style Citation Information** 

# UTTyler. HEALTH SCIENCE

# **References:**

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Belson, D. In Hall, R. W. (Ed.). (2006). *Patient flow: Reducing delay in healthcare delivery.* New York, NY: Springer.

Brassard, M., & Ritter, D. (1994). *The memory jogger II: A pocket guide of tools for continuous improvement and effective planning* (2<sup>nd</sup> ed.). Salem, NH: GOAL/QPC.

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Clark, D. E., Cushing, B. M., & Bredenberg, C. E. (1998). Monitoring hospital trauma mortality using statistical process control methods. *Journal of the American College of Surgeons, 186*(6), 630-635.

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Lighter, D. E., & Fair, D. C. (2004). *Quality management in health care: Principles and methods* (2<sup>nd</sup> ed.). Sudbury, MA: Jones and Bartlett Publishers.

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*Optimizing Patient Flow: Moving Patients Smoothly Through Acute Care Settings.* (2003). IHI Innovation Series white paper. Boston: Institute for Healthcare Improvement.

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# **References (continued):**

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Note: The Instructor retains the right to change this syllabus.